

Disclosing International Collaborations on NIH Biosketches & Other Support Forms

Rationale and Example Language

Rationale: There has been increasing concern about foreign threats to US intellectual property as well as integrity of federally funded research, summarized in detail on a dedicated webpage developed by the UW Office of Research: <https://www.washington.edu/research/compliance/foreign-interests-in-sponsored-programs/>. Federal sponsors are currently working on guidance to researchers and educators to mitigate these risks. Until specific guidance from NIH is provided, the UW Office of Research recommends that individuals whose research is supported by NIH should disclose all sources of support, foreign or domestic, on Other Support pages and the NIH Biosketch Form. This includes monetary and non-monetary support as listed below:

- Travel paid for by an international institution
- Measurable level of effort or honorary, visiting, or adjunct appointments at a foreign institutions
- In-kind support for research (e.g., lab space, equipment, supplies)
- Visiting postdoctoral fellows and students funded by foreign institutions
- Salary support from non-US institutions

Below are instructions outlining how to disclose this support on Biosketches and Other Support forms. These instructions are followed by example text in blue font that has been added to the sample Biosketch and Other Support forms at the end of this document.

Disclosures on NIH Biosketches:

- *Positions and Employment section:*
 - Note short term and/or honorary titles at international institutions
 - Clearly label those that are uncompensated as such
- *Completed Research Support section:*
 - Create a separate section after completed grant activities titled “International Collaborations”
 - Include guest lectureships, visiting scholar activities, postdoctoral fellows and students that you have mentored, and any scientific collaborators that you have hosted in your research group for any time period
 - Report activity, timeframe, name and location of the international institution, and a brief one-line description of the activity

- Students and/or post-doctoral fellows from the same institution can be listed in one entry, but each student should be listed by name and the timeframe in which the mentoring occurred should be included
- Limit reporting to the past 3 years, but include a note indicating that older collaborations are available on request
- No description of funds involved is required on the NIH Biosketch
- Formatting:
 - Font guidelines and page limits apply (no more than 5 pages)

Disclosures on Other Support Forms:

- OTHER – INTERNATIONAL COLLABORATIONS
 - Create a section with this title placed underneath the section describing Overlap
 - Include guest lectureships, visiting scholar activities, international students and postdoctoral fellows that you have mentored, and any scientific collaborators that you have hosted in your research group for any time period
 - Report role, name of institution, timeframe, level of effort (0.0 calendar for uncompensated activities), location, whether and what type of funds were involved, and a brief summary of the activity
 - Travel expenses over \$50 should be disclosed via the UW *Financial Interest Disclosure System* (FIDS) system; therefore, it is not necessary to list the dollar amount
 - Approval for outside work that results in a consulting fee should be requested in advance using the university's *Outside Professional Work for Compensation* form; therefore, it is not necessary to list the dollar amount.
 - Include any experimental materials and/or funds provided by a foreign institute in support of post-doctoral fellows working on your research. Describe this on the 'funds involved' line. It is not necessary to list the dollar amount.
 - Include international students and note which international entity is supporting any scholarships. Students and/or post-doctoral fellows from the same international institution can be listed in one entry, but each student should be listed by name and the timeframe in which the mentoring occurred should be included
 - Students receiving training funded by Fogarty Fellowships should be listed separately. Create one entry for each institution.
- Formatting:
 - Font guidelines apply
 - No page limit for Other Support

Example text:

The following pages contain specific language to address these disclosures that has been added to the NIH example pages for Biosketches and Other Support. All example text related to disclosing international collaborative activities is in blue font. With one exception, all examples are at the end of each document.

Further information:

Please contact Lisa Manhart, Associate Dean for Research in the School of Public Health (lmanhart@uw.edu) with questions about what to disclose and how.

Please contact Leesa Brown, SPH Research Administrator (brownl4@uw.edu) with questions about formatting and incorporation into applications, Just-In-Time materials, and progress reports.

Additional information and guidance on international collaborations can be found on the UW Office of Research Website “Foreign Interests in Sponsored Programs”:
<https://www.washington.edu/research/compliance/foreign-interests-in-sponsored-programs/>.

This summary and example text will be updated as new guidance from federal funding agencies is disseminated.

BIOGRAPHICAL SKETCH

Provide the following information for the Senior/key personnel and other significant contributors.
Follow this format for each person. DO NOT EXCEED FIVE PAGES.

NAME: Hunt, Morgan Casey

eRA COMMONS USER NAME (credential, e.g., agency login): huntmc

POSITION TITLE: Associate Professor of Psychology

EDUCATION/TRAINING (Begin with baccalaureate or other initial professional education, such as nursing, include postdoctoral training and residency training if applicable. Add/delete rows as necessary.)

INSTITUTION AND LOCATION	DEGREE (if applicable)	Completion Date MM/YYYY	FIELD OF STUDY
University of California, Berkeley	B.S	05/1990	Psychology
University of Vermont	Ph.D.	05/1996	Experimental Psychology
University of California, Berkeley	Postdoctoral	08/1998	Public Health and Epidemiology

A. Personal Statement

I have the expertise, leadership, training, expertise and motivation necessary to successfully carry out the proposed research project. I have a broad background in psychology, with specific training and expertise in ethnographic and survey research and secondary data analysis on psychological aspects of drug addiction. My research includes neuropsychological changes associated with addiction. As PI or co-Investigator on several university- and NIH-funded grants, I laid the groundwork for the proposed research by developing effective measures of disability, depression, and other psychosocial factors relevant to the aging substance abuser, and by establishing strong ties with community providers that will make it possible to recruit and track participants over time as documented in the following publications. In addition, I successfully administered the projects (e.g. staffing, research protections, budget), collaborated with other researchers, and produced several peer-reviewed publications from each project. As a result of these previous experiences, I am aware of the importance of frequent communication among project members and of constructing a realistic research plan, timeline, and budget. The current application builds logically on my prior work. During 2005-2006 my career was disrupted due to family obligations. However, upon returning to the field I immediately resumed my research projects and collaborations and successfully competed for NIH support.

1. Merryle, R.J. & Hunt, M.C. (2004). Independent living, physical disability and substance abuse among the elderly. *Psychology and Aging*, 23(4), 10-22.
2. Hunt, M.C., Jensen, J.L. & Crenshaw, W. (2007). Substance abuse and mental health among community-dwelling elderly. *International Journal of Geriatric Psychiatry*, 24(9), 1124-1135.
3. Hunt, M.C., Wiechelt, S.A. & Merryle, R. (2008). Predicting the substance-abuse treatment needs of an aging population. *American Journal of Public Health*, 45(2), 236-245. PMID: PMC9162292 Hunt, M.C., Newlin, D.B. & Fishbein, D. (2009). Brain imaging in methamphetamine abusers across the life-span. *Gerontology*, 46(3), 122-145.

B. Positions and Honors

Positions and Employment (NOTE: Include titles (even short-term or honorary) titles at international institutions; label uncompensated international positions as such)

1998-2000	Fellow, Division of Intramural Research, National Institute of Drug Abuse, Bethesda, MD
2000-2002	Lecturer, Department of Psychology, Middlebury College, Middlebury, VT
2001-	Consultant, Coastal Psychological Services, San Francisco, CA
2002-2005	Assistant Professor, Department of Psychology, Washington University, St. Louis, MO
2007-	Associate Professor, Department of Psychology, Washington University, St. Louis, MO
2011-2019	Visiting Professor, Madras Medical College, Chennai, Tamil Nadu, India (honorary title)

Other Experience and Professional Memberships

1995-	Member, American Psychological Association
1998-	Member, Gerontological Society of America
1998-	Member, American Geriatrics Society
2000-	Associate Editor, Psychology and Aging
2003-	Board of Advisors, Senior Services of Eastern Missouri
2003-05	NIH Peer Review Committee: Psychobiology of Aging, ad hoc reviewer
2007-11	NIH Risk, Adult Addictions Study Section, members

Honors

2003	Outstanding Young Faculty Award, Washington University, St. Louis, MO
2004	Excellence in Teaching, Washington University, St. Louis, MO
2009	Award for Best in Interdisciplinary Ethnography, International Ethnographic Society

C. Contribution to Science

- My early publications directly addressed the fact that substance abuse is often overlooked in older adults. However, because many older adults were raised during an era of increased drug and alcohol use, there are reasons to believe that this will become an increasing issue as the population ages. These publications found that older adults appear in a variety of primary care settings or seek mental health providers to deal with emerging addiction problems. These publications document this emerging problem but guide primary care providers and geriatric mental health providers to recognize symptoms, assess the nature of the problem and apply the necessary interventions. By providing evidence and simple clinical approaches, this body of work has changed the standards of care for addicted older adults and will continue to provide assistance in relevant medical settings well into the future. I served as the primary investigator or co-investigator in all of these studies.
 - Gryczynski, J., Shaft, B.M., Merryle, R., & Hunt, M.C. (2002). Community based participatory research with late-life addicts. *American Journal of Alcohol and Drug Abuse*, 15(3), 222-238.
 - Shaft, B.M., Hunt, M.C., Merryle, R., & Venturi, R. (2003). Policy implications of genetic transmission of alcohol and drug abuse in female nonusers. *International Journal of Drug Policy*, 30(5), 46-58.
 - Hunt, M.C., Marks, A.E., Shaft, B.M., Merryle, R., & Jensen, J.L. (2004). Early-life family and community characteristics and late-life substance abuse. *Journal of Applied Gerontology*, 28(2), 26-37.
 - Hunt, M.C., Marks, A.E., Venturi, R., Crenshaw, W. & Ratonian, A. (2007). Community-based intervention strategies for reducing alcohol and drug abuse in the elderly. *Addiction*, 104(9), 1436-1606. PMID: PMC9000292
- In addition to the contributions described above, with a team of collaborators, I directly documented the effectiveness of various intervention models for older substance abusers and demonstrated the importance of social support networks. These studies emphasized contextual factors in the etiology and maintenance of addictive disorders and the disruptive potential of networks in substance abuse treatment. This body of work also discusses the prevalence of alcohol, amphetamine, and opioid abuse in older adults and how networking approaches can be used to mitigate the effects of these disorders.
 - Hunt, M.C., Merryle, R. & Jensen, J.L. (2005). The effect of social support networks on morbidity among elderly substance abusers. *Journal of the American Geriatrics Society*, 57(4), 15-23.

INTERNATIONAL COLLABORATIONS-PAST 3 YEARS (older collaborations available on request)

Guest Lecturer, Madras Medical College (Hunt, lecturer) 02/04/2019

Chennai, India

Provided a guest lecture on epidemiologic methods in the context of a 2-day symposium

Visiting Scholar, Madras Medical College (Hunt, scholar) 10/15/2018 –
11/14/2018

Chennai, India

Reviewed data and planned for a new collaborative research proposal

Mentor; Postdoctoral Fellows (Hunt, mentor)

06/15/2019 – 06/14/2020

Madras Medical College (MMC, Chennai India)

Provided additional training and collaborative work experience to postdoctoral fellow originally trained at MMC

Fellows: Rao, M (2018); Srinivasan, R (2017); Matthai, P (2016)

Mentor; MPH, PhD, short-term Students (Hunt, mentor) 06/15/2019 –
06/14/2020

Madras Medical College (MMC, Chennai India)

Provided training in epidemiologic methods to students pursuing graduate degrees

MPH students: Aggarwal, S (2018-19); Ghandi, R (2017-2018); Pillay, P (2016-2017); PhD students:

Chakraborty, R (2019-ongoing)

Host; Scientific Collaborator (Hunt, host)

05/01/2019 – 07/1/2019

Madras Medical College, Chennai

Hosted a scientific collaborator to work on joint analyses and prepare new grant proposals

EXAMPLE TEXT FOR DISCLOSING INTERNATIONAL COLLABORATIONS IN BLUE FONT
(no page limit for Other Support documentation)

For New and Renewal Applications (PHS 398) – DO NOT SUBMIT UNLESS REQUESTED

For Non-competing Progress Reports (PHS 2590) – Submit only Active Support for Key Personnel

PHS 398/2590 OTHER SUPPORT

Provide active support for all key personnel. **Other Support includes all financial resources, whether Federal, non-Federal, commercial or institutional, available in direct support of an individual's research endeavors, including but not limited to research grants, cooperative agreements, contracts, and/or institutional awards.** Training awards, prizes, or gifts do not need to be included.

There is no "form page" for other support. Information on other support should be provided in the *format* shown below, using continuation pages as necessary. **Include the principal investigator's name at the top and number consecutively with the rest of the application.** The sample below is intended to provide guidance regarding the type and extent of information requested.

For instructions and information pertaining to the use of and policy for other support, see Other Support in the PHS 398 Part III, Policies, Assurances, Definitions, and Other Information.

Note effort devoted to projects must now be measured using person months. Indicate calendar, academic, and/or summer months associated with each project.

Format

NAME OF INDIVIDUAL

ACTIVE/PENDING

Project Number (Principal Investigator) Source Title of Project (<i>or Subproject</i>)	Dates of Approved/Proposed Project Annual Direct Costs	Person Months (Cal/Academic/ Summer)
The major goals of this project are...		

OVERLAP (*summarized for each individual*)

Samples

ANDERSON, R.R.

ACTIVE

2 R01 HL 00000-13 (Anderson) NIH/NHLBI Chloride and Sodium Transport in Airway Epithelial Cells	3/1/1997 – 2/28/2002 \$186,529	3.60 calendar
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The major goals of this project are to define the biochemistry of chloride and sodium transport in airway epithelial cells and clone the gene(s) involved in transport.

5 R01 HL 00000-07 (Baker) NIH/NHLBI Ion Transport in Lungs	4/1/1994 – 3/31/2002 \$122,717	1.20 calendar
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The major goal of this project is to study chloride and sodium transport in normal and diseased lungs.

R000 (Anderson) Cystic Fibrosis Foundation Gene Transfer of CFTR to the Airway Epithelium	9/1/1996 – 8/31/2002 \$43,123	1.20 calendar
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The major goals of this project are to identify and isolate airway epithelium progenitor cells and express human CFTR in airway epithelial cells.

PENDING

DCB 950000 (Anderson) National Science Foundation Liposome Membrane Composition and Function	12/01/2002 – 11/30/2004 \$82,163	2.40 calendar
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EXAMPLE TEXT FOR DISCLOSING INTERNATIONAL COLLABORATIONS IN BLUE FONT
(no page limit for Other Support documentation)

The major goals of this project are to define biochemical properties of liposome membrane components and maximize liposome uptake into cells.

OVERLAP

There is scientific overlap between aim 2 of NSF DCB 950000 and aim 4 of the application under consideration. If both are funded, the budgets will be adjusted appropriately in conjunction with agency staff.

OTHER – INTERNATIONAL COLLABORATIONS-PAST 3 YEARS (older collaborations available on request)

Guest Lecturer, Madras Medical College Chennai, India	02/04/2019	0.0 calendar
Funds involved	Travel expenses	

The major goal of this activity was to provide a guest lecture on epidemiologic methods in the context of a 2-day symposium hosted by Madras Medical College

Visiting Scholar, Madras Medical College Chennai, India	10/15/2018 – 11/14/2018	0.0 calendar
Funds involved	Consulting fee; travel expense	

The major goal of this activity was to review data and plan for a new collaborative research proposal

Mentor; Postdoctoral Fellow Madras Medical College, Chennai India	06/15/2019 – 06/14/2020	0.0 calendar
Funds involved	Postdoctoral fellow support from Madras Medical College Materials and staff support for postdoc project provided by Madras Medical College	

The major goal of this activity was to provide additional training in epidemiologic methods and work on collaborative research projects for a new investigator previously trained in a scientific collaborator's institution (Fellow 2019-2020: Rao, M)

Mentor; MPH, PhD & short-term students Madras Medical College, Chennai India	06/15/2019 – 06/14/2020	0.0 calendar
Funds involved	[Student scholarship funded by Indian Government] OR [None; student funded by Fogarty Fellowship (Grant no.)]	

Provided training in epidemiologic methods to students pursuing graduate degrees (MPH students: Aggarwal, S (2018-19); Ghandi, R (2017-2018); Pillay, P (2016-2017); PhD students: Chakraborty, R (2017-ongoing))

Host; Scientific Collaborator Madras Medical College, Chennai	05/01/2019 – 07/1/2019	0.0 calendar
Funds involved:	None received; Madras Medical College provided travel, lodging, and per diem support to scientific collaborator	

The major goal of this activity was to host a scientific collaborator to work on joint analyses and prepare new grant proposals (Collaborator: Ramakrishnan, S.)