

**POPULATION HEALTH INITIATIVE (PHI) PILOT AWARD APPLICATIONS  
MATCHING FUNDS REQUESTS  
2023 (Updated August 31, 2023)**

Overview:

The School of Public Health (SPH) is committed to supporting population health research and the Population Health Initiative (PHI) efforts to stimulate this research. Therefore, we are prepared to commit matching funds for the PHI Pilot awards, subject to policies outlined in this document and addendum. Departments may commit additional matching funds. The level of support provided will vary based on the role of the SPH faculty member in the project.

Beginning in Winter 2023, the PHI has adopted a tiered approach for the next two years of the program, described in detail [here](#) and summarized below.

- Tier 1: Up to \$25,000 per project with a project period of up to eight months. Available Winter, Spring, & Autumn through 2023.
- Tier 2: Up to \$50,000 per project with a project period of up to 12 months. Up to \$65,000 for projects including community-based organizations. Available Winter & Autumn through 2023.
- Tier 3: Up to \$150,000 per project with a project period of up to 18 months. Up to \$200,000 for projects including community-based organizations. Available Spring 2022 and Spring 2023.

Matching amount (irrespective of Tier):

- \$7,500 if SPH faculty member is the PI and award is administered within the SPH
- \$2,500 if SPH faculty member is a collaborator and award is administered outside the SPH.
- No matching funds are available for awards >\$65,000.
- No matching funds are allowed for PI's or Co-investigators who have received any PHI matching funds from the School within the 3 years preceding the PHI application due date. Matching funds from departments and other sources do not apply to this restriction.
- Additional matching funds may also be provided by your home department

Application deadlines: [here](#)

Send your request for SPH matching funds to Jerry Cangelosi ([gcang@uw.edu](mailto:gcang@uw.edu)) including the following information:

Project Name:	<Title>
SPH Faculty Role:	PI or Co-I
Collaborator Affiliations:	Departments and/or Schools and/or Community Partners
Total Budget:	\$XX,XXX
SPH Match:	\$7,500 or \$2,500 (see above)
Department Match:	\$X,XXX if provided
Match from other school/college:	\$X,XXX if applicable
TOTAL Requested from PHI:	\$X,XXX (e.g., total budget minus total dollar amount of matching)

Documenting the SPH match for your application:

A PDF of an email from the Dean's Office documenting the matching commitment is sufficient for the PHI application. Letters of support are not required for this opportunity.

NOTE: This matching funds policy is subject to availability of funds and may be modified.

**Addendum: New rules on availability of SPH matches, instituted at the start of 2023.**

Background

The SPH has endeavored to stimulate faculty, staff, and student participation in PHI-funded projects. Evidence of success includes disproportionately strong representation by SPH investigators among PHI grant and fellowship recipients. In the latest round of pilot research awards announced by PHI in FQ 2022, 6 of 9 awards had SPH PIs or co-investigators.

One way the School has encouraged SPH participation has been to provide supplemental ("matching") funding to PHI awardees. Typically, this amounts to \$15,000 for SPH PI's, \$5,000 for SPH co-investigators in projects proposed by PI's in other Schools and Colleges. These supplements also enhance the impact of PHI-funded research, especially when applied to smaller PHI awards.

The challenge

In the years since its launch in 2016, the PHI has greatly expanded its funding opportunities. Meanwhile, the School has greatly increased its participation. As a result, the School's supplementation program has become far more costly than originally anticipated. Annual costs have risen from \$10K in the first year to >\$100K/year in two of the last three years. This puts strain on other initiatives that the School may wish to pursue to stimulate SPH research, collaboration, and engagement.

Priorities

The availability of supplements should focus on three overall objectives:

1. Optimize outcomes for investigators, by focusing on projects that have the most to gain from supplements in the \$2.5K to \$7.5K range.
2. Maximize outcomes for the School's overall research enterprise, by focusing on projects that are most likely to lead to *new* collaborative initiatives and *new* externally-funded research efforts.
3. Encourage SPH investigators to take up Population Health as a new area of research.

Revisions to policy

With this in mind, we will implement the following changes effective January 1, 2023:

<b>New policy</b>	<b>Rationale</b>
Supplements limited to PHI-funded grants ≤\$65,000	\$2.5K and \$7.5K supplements have greater impact on smaller awards than on larger ones.*
Investigators can receive no more than one supplement in a three-year period.**	Over its history the supplement program has been used repeatedly by a few SPH investigators, and not at all by most others. This isn't consistent with our objectives of stimulating new initiatives and attracting new investigators into the Population Health arena.

Limit supplements to faculty investigators; end post doc matching, not expand to students.	While helpful to training, post doc and student supplements may not contribute as much to the launch of new externally funded research initiatives. Moreover, they are vulnerable to cost expansion from future PHI expansions of student fellowship opportunities.
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\*A \$65K cutoff is used rather than funder designations such as Tier 1, Tier 2, Tier 3, etc., because the latter may evolve over time.

\*\*Based on PHI application due date. Rule applies beginning on January 1, 2023. For example, grants due on February 1, 2023, will not be eligible for supplementation if the investigator received any SPH PHI supplement on or after February 1, 2020.

Review of policy

The impacts of these changes will be assessed in Q4 2024, and the policy will be adjusted as needed to address the priorities listed above.